

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
JERALD L. BAUGHMAN)
Serial No: TBA)
Filed: Concurrently Herewith)
For: **MOUThPIECE DEvICES AND METhODS TO ALLOW UV WHITENING OF TEETH**)

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents
and Trademarks
Washington DC 20231

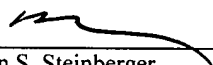
Sir:

Pursuant to the requirements of 37 CFR 1.97 and 1.98, Applicant hereby requests that the references listed in the attached form PTO-1449 be considered and made of record in the above-identified application.

Favorable consideration of the application at an early date is respectfully solicited.

Respectfully submitted,

By:



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Date:

9/23/03

**US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**

APPLICANT: **JERALD L. BAUGHMAN**
FOR: **MOUTHPIECE DEVICES AND METHODS TO ALLOW UV WHITENING OF TEETH**

LIST OF ART CITED BY APPLICANT

U.S. PATENT DOCUMENTS

	DATE	DOCUMENT NO.	NAME	CLASS	SUBCLASS
AA	6/30/53	2,643,652	Catheart, et al.	128	136
AB	10/30/62	3,060,935	Ridden, et al.	128	260
AC	3/15/83	4,376,628	Aardse	433	80
AD	7/31/90	4,944,313	Katz, et al.	128	859
AE	11/24/92	5,165,424	Silverman	128	861
AF	7/8/97	5,645,428	Yarborough	433	215
AG	8/24/99	5,941,247	Keane	128	848
AH	9/28/99	5,957,689	Wagner	433	215
AI	3/26/02	6,361,320 B2	Yarborough	433	215
AJ	5/7/02	6,382,979 B2	Lindquist	433	215
AK	7/9/02	6,416,319 B1	Cipolla	433	29
AL	12/24/02	6,497,575 B2	Zavitsanos, et al.	433	215
AK	2/20/03	2003/0036037 A1	Zavitsanos, et al.	433	215

PATENT APPLICATION PUBLICATIONS

NONE

FOREIGN ART

NONE

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

- | | |
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| OA1 | Code of Federal Regulations, Title 21, Volume 8, Section 1040.20. Performance Standards for Light-Emitting Products, Revised April 1, 2003. |
| OA2 | Allen, Jeannie. Ultraviolet Radiation: How It Affects Life on Earth Observatory, NASA, September 6, 2001. |